San Joaquin River Group Authority

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Public Workshop Comments Issue #9 (VAMP)

San Joaquin River Group Authority

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## I. INTRODUCTION

In its September 17, 2004 Revised Notice of Public Workshop, the State Water Resources Control Board ("SWRCB") indicated that it would receive information and conduct discussions regarding "specific plan amendments or revisions" to the Water Quality Control Plan for the San Francisco Bay/Sacramento-San Joaquin Delta Estuary adopted on May 22, 1995 ("1995 WQCP") (p. 1). While it recognized that the workshop forum was "informal," the SWRCB specifically identified 11 topics, including key issues for each, for which it would receive information as part of the workshop process. One of the topics identified was the flow objectives in the San Joaquin River during the 31 day pulse flow period between April 15 and May 15. Under this topic, the key issues the SWRCB wanted discussed were

"Should the SWRCB amend April 15 through May 15 San Joaquin River 31 day pulse flow objectives in the Water Quality Objectives for Fish and Wildlife Beneficial Uses?

"Should the SWRCB include flexibility in the San Joaquin River pulse flow objectives or revise the objectives to specify criteria for staged implementation of the objectives or variances from the objectives? What amendments should be considered and what are the scientific and legal arguments in support of and against modification?" (p. 5).

In accordance with the SWRCB's instructions, the San Joaquin River Group Authority ("SJRGA") appeared at the workshop on January 25, 2005 and recommended that the objectives remain unchanged, but that additional language be added permitting implementation of the

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objectives over time. Other parties, however, including the California Department of Fish and Game ("CDFG"), Central Delta Water Agency ("CDWA") and South Delta Water Agency ("SDWA"). took the opportunity to argue that the San Joaquin River Agreement ("SJRA"), and not the 1995 WQCP, should be changed, amended or abandoned. While the actual plan of implementation for the 1995 WQCP that came out of the Bay-Delta Water Right hearings in 1998 is not an issue in Triennial Review (as opposed to the program of implementation that is contained in the 1995 WQCP itself), the large quantity of misinformation regarding the SJRA that was presented at the workshop is troubling. As such, the SJRGA feels compelled to set the record straight regarding the history, development and purpose of the SJRA, as well as to respond to specific items raised by CDWA, SDWA and the CDFG.

#### П. DEVELOPMENT OF THE SJRA

#### A. Introduction

At the request of the Governor, SWRCB, and others, the SJRGA eschewed litigation regarding their concerns with the 1995 WQCP and, with the help and support of recognized biologists of the United States Fish and Wildlife Service ("USFWS"), National Marine Fisheries Service ("NMFS"), and CDFG, as well as other interested parties and experts, promulgated the SJRA. The SJRA establishes a method by which the spring pulse flow and fall attraction flow requirements of the 1995 WQCP can be substantially complied with, while simultaneously establishing a scientific protocol and process for gathering data on the nature of the relationship between such flows and the survival of salmon.

The flow and non-flow measures committed to by the SJRGA in the SJRA were developed voluntarily, without recognition of either the jurisdiction of the SWRCB over the water rights of the SJRGA, or of the allegation that the exercise of such water rights contributed to the problems being

addressed by the 1995 WQCP. This voluntary commitment was submitted to the SWRCB pursuant to the SWRCB's specific request for consensus-based resolutions.

The terms and conditions of the SJRA were specifically scrutinized in not less than three phases of hearings before the SWRCB, at which the SWRCB received hours of expert and percipient witness testimony and thousands of pages of documentation, including a completed a Environmental Impact Report ("EIR"), regarding the effectiveness of the SJRA, its impact on the environment, and its impact on other water users. At each of these hearings, parties opposing all or some of the SJRA made a variety of legal and factual arguments, none of which resonated with the SWRCB. The SJRA was approved by the SWRCB.

## B. The 1995 WQCP

In 1994, in accordance with the authority provided it by Section 13170 of the Water Code, the SWRCB prepared a water quality control plan designed to establish control measures that, in conjunction with existing and expected plans, policies and programs under the jurisdiction of other agencies, would provide for the protection of the beneficial uses of the Bay-Delta. (AR/10/2367/10-16). For the San Joaquin River, a variety of objectives, designed to protect different beneficial uses, were proposed. For the protection of agricultural beneficial uses, a salinity standard, measured in terms of electrical conductivity at Vernalis, was established. For the protection of municipal and industrial beneficial uses, a chloride standard measured at the Antioch Water Works Intake was established. And, most importantly for purposes of this proceeding, for the protection of fish and wildlife beneficial uses, the SWRCB determined that a 31 day pulse flow in April and May of each year, and again in October of each year, would be required, with the size of the pulse, as measured at Vernalis, dependent upon the water-year type. (AR/10/2367/28-31).

The SWRCB deferred the identification of specific actions and measures designed to implement the requirements of the 1995 WQCP until a later date, although it recognized that much of the 1995 WQCP would be implemented *via* amendments to the specific water rights exercised in the Bay-Delta watersheds following a water right hearing to be conducted by the SWRCB after the adoption of the 1995 WQCP itself. (AR/10/2367/10, 13, 36). In the period between the adoption of the 1995 WQCP and the amendment of water rights to take place after the completion of a water right hearing, the SWRCB made both the United States Bureau of Reclamation ("USBR") and the California Department of Water Resources ("DWR") responsible for implementing the objectives. (AR/10/2367/36). The SWRCB specifically held open the possibility that it would re-allocate such responsibility once the water rights hearing was concluded. (Id.).

# C. The SJTA Lawsuit

The SWRCB's preparation, consideration and adoption of the 1995 WQCP was watched with great interest by Oakdale Irrigation District ("OID"), South San Joaquin Irrigation District ("SSJID"), Modesto irrigation District ("MID"), Turlock Irrigation District ("TID"), and Merced Irrigation District ("Merced ID"). These public entities hold the largest and most senior appropriative water rights to the Stanislaus, Tuolumne and Merced Rivers, and operate a complex array of diversion, storage, conveyance and power producing facilities. (AR/4/1113/88-92). In light of the SWRCB's clear intention to implement the water quality objectives contained in the 1995 WQCP via amendment of existing water rights, OID, SSJID, MID, TID and Merced ID each realized that their particular water rights were at great risk of being altered or amended by the SWRCB. These agencies were particularly concerned for their water rights since (1) the 1995 WQCP required additional flow at Vernalis, and (2) at that time, it appeared unlikely that such water could be obtained from any source other than themselves.

The San Joaquin Tributaries Association ("SJTA"), consisting of OID, SSJID, TID, MID and Merced ID, challenged the SWRCB's adoption of the 1995 WQCP. (San Joaquin Tributaries Association v. State Water Resources Control Board, Sacramento County Superior Court Case No. 95CS01432). The SJTA alleged, *inter alia*, that the flow requirements adopted for the San Joaquin River, as measured at Vernalis, by the SWRCB to protect fish and wildlife beneficial uses, were not based upon sound science. (AR/4/1113/40). Specifically, the SJTA felt that there was not enough scientific evidence in the administrative record regarding the relationship of Chinook salmon survival and increased flow in the San Joaquin River to justify the adoption of the specific flow objectives for the San Joaquin River contained in the 1995 WQCP. (AR/4/1113/40). The SJTA therefore sought to prevent the SWRCB from enforcing or implementing the water quality standards contained in the 1995 WQCP. This was the only lawsuit filed which challenged any aspect of the 1995 WQCP itself.<sup>1</sup>

In the settlement discussions that immediately ensued, the SWRCB suggested that the SJTA

should attempt to resolve its concerns about the adequacy of the scientific underpinnings of the Vernalis flow requirements contained in the 1995 WQCP through the development of an implementation strategy which would both substantially comply with the flow requirements and provide for the acquisition of data regarding the effect such flow was having on Chinook salmon survival instead of through litigation. (AR/4/1113/40). While any such implementation strategy would have to be submitted to the SWRCB at the water right hearing it intended to schedule, the SJTA was informed by the SWRCB that it would consider such a submission. (AR/1/0080/2).

<sup>&</sup>lt;sup>1</sup> Several parties intervened in the suit, including the CCSF, Santa Clara Valley Water District, Kern County Water Agency, Metropolitan Water District of Southern California, State Water Contractors, and DWR.

In light of these discussions, the SJTA's members made the decision to settle their case and to try to work through their concerns about the Vernalis flow requirements in a cooperative, open fashion that would permit the 1995 WQCP to be implemented. This decision was extremely risky, since there was no guarantee that the proposal put forward by the SJTA's members would be accepted or adopted by the SWRCB. However, the SJTA's members were not interested in stopping the implementation of the Vernalis flow requirements at all costs, but only in making certain that such flow requirements were based upon sound science. Being given the opportunity to devise an implementation strategy that would both provide additional flows at Vernalis while collecting data to ensure that such flows were having the desired affect was considered by the SJTA's members to be a fair and proper resolution.

## D. The SJRA

# 1. <u>Development of the Vernalis Adaptive Management Plan</u>

During its settlement negotiations with the SWRCB over the SJTA lawsuit, as well as in response to the SWRCB's invitation to water right holders to develop and present agreed-upon implementation plans at the water right hearings, the SJTA decided to seek a broader coalition, one which was not based solely upon senior water right holders in the San Joaquin River Basin, but one which also included other stakeholders and parties interested in issues associated with the San Joaquin River. (AR/15/0028/1-2; see also AR/2/3302/66). As a result, the SJTA joined with the Friant Water Users Authority ("FWUA") and the San Joaquin River Exchange Contractors ("Exchange Contractors") to form the SJRGA, which then entered into an 18 month process of broad discussions intended to develop a comprehensive package of scientifically based flow and non-flow actions designed to benefit outmigrating salmon smolts and other aquatic species. (AR/15/0028/1-2; see also AR/2/3302/66-67). Such meetings and discussions brought together and

included other water right holders, such as CCSF and East Bay Municipal Utilities District ("EBMUD"), the state and federal export contractors, the USBR and DWR, various federal and state resources agencies, including the Environmental Protection Agency ("EPA"), USFWS, NMFS, CDFG, and private groups dedicated to the preservation of the environment, including the Natural Heritage Institute ("NHI") and the Bay Institute. (AR/2/3302/66-68; AR/2/3302/137).

At the same time that these meetings were taking place, two scientists, Dr. Bruce Herbold of EPA and Dr. Charles Hanson, an expert retained by the State Water Contractors, were taking the lead role in developing an experiment to evaluate the role that flow in the lower San Joaquin River and exports had on the survival of outmigrating Chinook salmon smolts. (AR/2/3302/128-131). When the work that these two men were performing became known to the SJRGA, they were invited to and became an integral part of the larger process being fostered by the SJRGA.

Development of the experiment was an iterative process. As various drafts of the experiment, which became known as the Vernalis Adaptive Management Plan ("VAMP"), were prepared, they were discussed, reviewed and revised by researchers and scientists from all sectors, including water users, universities, the state and federal resource agencies and the environmental community. (AR/2/3302/130; AR/2/3302/137).

In developing the experiment, Drs. Herbold and Hansen were guided by three principles. First, the experiment had to be designed and conducted in such a way as to protect salmon at least as well as would strict compliance with the 1995 WQCP. (AR/2/3302/131). Second, the experiment had to provide scientific results that actually reflected upon the relationship between flow, exports, and salmon smolt survival during the spring emigration period. (Id.). Third, the scientific results had to be verifiable, reliable and usable so that future decisions based upon them would have the confidence of all of the affected parties. (Id.). Utilizing these three principles, the VAMP

experiment was designed in two phases. The first phase was analytical and focused on identifying what the experiment should be in terms of flow and exports. (AR/2/3302/129). The second phase was logistical, and focused on the method by which the impact that the experiment had on salmon smolts could be determined. (Id).

The analytical framework of the experiment was identified by an examination of the various physical and regulatory constraints on the system. These included the existing limitation that the ratio of flow to exports be 2:1, a ceiling on flows of 7,500 cubic feet per second ("cfs") at Vernalis due to the installation of a barrier at the head of Old River, and minimum export requirements. (AR/2/3302/132-134; AR/4/1023/29-32). Once this framework was in place, additional flow to export ratios were added in an effort to avoid the problem, seen in the historical data, that the flow and export numbers would vary together. (AR/2/3302/132, 134-135). Similarly, additional flow rates were added to round out the possible data and assist in determining the interaction between flow rate, exports and salmon smolt survival. (AR/2/3302/134). The result was a matrix of flows and export rates that enabled an evaluation of four levels of flow and three levels of export. (AR/4/1023/31-32).

Having created the analytical framework, an actual logistical plan for implementing the experiment and measuring its results had to be prepared. Relying upon the seasonal pattern of smolt emigration and factors influencing such migration, including water temperature, the scientists identified April 15 through May 15 as the time during which the experiment should be conducted. (AR/2/3302/138). During this time period, the flows and exports would be managed in accordance with the matrix discussed above. By managing the flow and exports, the reliability of the data would be increased, since variation in both was identified as a primary weakness of prior studies regarding the impact that flows and exports had on smolt survival. (Id.). Further, it would enable the

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researchers to differentiate between the impact that flows and export rates had individually, which prior studies could not due to the variability of each. (AR/4/1023/29).

In addition to the consistent management of flows and exports in accordance with the protocols of the experiment, the experiment assumes and relies upon the consistent installation and operation of a barrier at the head of Old River. (AR/4/1023/33-35). As noted above, the analytical limits of the experiment were developed based upon the amount of flow that the barrier could withstand. (AR/2/3302/132-134). The primary benefit of the barrier is that it directs outmigrating smolts away from the Old River channel (and thereby away from the State and Federal pumps) and keeps them in the main stem of the San Joaquin River, greatly increasing their chance of survival. (AR/4/1025/10-13, 25-34; AR/2/3302/111-113, 172; AR/2/3303/97-101). However, while the installation and operation of the barrier is an integral aspect of the experiment, the researchers recognized that the barrier might not be installed under all conditions. (AR/2/3302/139-140). As such, they developed a contingency plan for those years in which the barrier is not installed and operated which will enable the experiment to take place and to still provide valuable data utilizing the general protocols of the overall experiment. (Id.; AR/4/1023/33-35).

Having identified the time frame for the experiment, as well as the flow and export rates that would be used, all that remained was the process by which the level of smolt survival could be evaluated. After reviewing past efforts and identifying their strengths and weaknesses, the researchers decided to release salmon smolts containing coded wire tags from four locations in the southern and eastern Delta during the April-May pulse flow period and to sample/recapture such smolts at two locations in the western Delta. (AR/2/3302-135-136; AR/2/3302/144-147; AR/4/1023/37, 41). Results of the recapture, identifying the number caught from each release

location, as well as general operating and environmental conditions occurring during the recapture, will be documented. (AR/4/1023/45).

# 2. Implementation of VAMP By The San Joaquin River Agreement

Even though scientists and researchers designed the VAMP experiment so that it could be conducted, both analytically and logistically, the experiment remained a theoretical exercise since almost all of its elements were subject to the control of different entities. To manage the flow at Vernalis in accordance with the experiments' matrix, one needed the participation of several water right holders located upstream of Vernalis. To manage the exports, one needed the participation of the USBR and DWR. To install and operate the barrier at the head of Old River required the consent of the DWR. The transport, release and recapture the coded-wire tagged salmon smolts required the participation and consent of the State Water Project, CDFG, and USFWS.

It was at this point that the members of the SJRGA stepped in with an offer. They, as the holders of the largest and most senior water rights to the San Joaquin, Stanislaus, Tuolumne and Merced Rivers, would make the water available necessary to achieve the April-May pulse flow called for in the experiment as their contribution to the 1995 WQCP. In exchange, the USBR and DWR would agree to meet all other flow related requirements of the San Joaquin Basin and to limit exports as called for in the experiment. (AR/4/1023/17-18). Additionally, the DWR would install the barrier at the head of Old River, and CDFG and USFWS would assist in the release and recapture of the test smolts. The parties agreed in concept to this idea, and began to draft an agreement that could then be presented to the SWRCB for review and consideration at the SWRCB's water right hearings. This became the SJRA.

The SJRA is a 12-year performance agreement that contains a package of flow and non-flow actions that will be undertaken by the parties to implement the VAMP experiment. First, it establishes a schedule by which the SJRGA's members will provide up to 110,000 acre-feet of water each year, in excess of the existing flow, to meet the April-May pulse flow. (AR/4/1023/10-12). Second, the SJRA requires the USBR and DWR to reduce exports during the pulse flow period, with the level of reduction based upon the flow at Vernalis. (AR/4/1023/13). Third, the SJRA provides that DWR will install the barrier at the head of Old River each year. (AR/4/1023/14).

In addition to providing the framework by which the VAMP experiment is to be conducted, the SJRA also calls for additional water to be made available for environmental benefits in other parts of the year. Thus, Merced ID shall sell the USBR an additional 12,500 acre-feet of water for release in October of each year to assist in the attraction of migrating salmon. (AR/4/1023/16). Further, OID shall sell the USBR an additional 15,000 acre-feet each year and make that water available for the USBR's use at its New Melones facility for any authorized use at any time of the year. (Id.). And, the SJRA contains unprecedented conditions insuring that the USBR has access to, and a preferred position in, a water market created by the SJRGA's members so that the USBR can more easily acquire water it deems necessary for environmental and other purposes. (Id.).

The SJRA contains far more than just the basics of the actions to be taken; it creates a comprehensive process by which the experiment shall be conducted each year. It establishes a technical committee, comprised of one technical specialist designated by each party, whose purpose is to meet each year to develop the flow and export rates, to determine the best management of flow released during the pulse flow period, and to coordinate the flow releases, export reductions, and release and recapture of salmon smolts. (AR/4/1023/19-20, 51-60). The technical committee is also responsible for conducting the sampling and monitoring effort, including the protocols for the

transport, tagging, release, and recapture of salmon smolts, and compilation and evaluation of the data. (AR/4/1023/17, 36-45). To insure confidence in the results of the experiment, all data collected shall be available to the public. (AR/4/1023/17).

The SJRA also includes detailed requirements for dealing with any disputes that may arise among the parties. Termination may only be sought by the SJRGA's members if their water supply is materially impaired or for non-payment by the USBR. (AR/4/1023/21-22). Even in these instances, termination is not the preferred remedy, and the SJRA requires that any party seeking to terminate the agreement for any reason shall provide notice to the other parties of their intent to terminate and the reasons therefore, shall call for mediation of the dispute, and shall renegotiate the SJRA if at all possible. (AR/4/1023/21-23). Moreover, in the event that termination does occur, either by expiration of the term or by action of the parties, the SWRCB shall be notified and conduct a hearing on the parties' responsibilities under the 1995 WQCP. (Id.).

The SJRA was agreed to by the USBR, DWR, SJRGA, TID, MID, Merced ID, SSJID, OID, Exchange Contractors, FWUA, CCSF, USFWS, and CDFG. (AR/1/0770/30). Although the parties agreed to the terms and conditions of the SJRA, performance of the agreement was contingent upon approval of certain conditions by the SWRCB. Specifically, the SWRCB had to find that the USBR and DWR were responsible for meeting all of the flow requirements for the San Joaquin Basin established in the 1995 WQCP, and that the sole responsibility of the SJRGA's members was to assist the USBR and DWR in meeting their requirements by performing in accordance with the flow provisions of the SJRA. (AR/4/1023/15). The SWRCB also had to agree to amend the water rights of the SJRGA's members in accordance with Water Code sections 1707 and 1735 to enable them to release water for the environmental purpose of meeting the pulse flow requirements at Vernalis.

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(Id.). Thus, prior to becoming an enforceable contract, the parties to the SJRGA had to submit the SJRA to the SWRCB for review and consideration at its water rights hearing.

### E. Consideration of the SJRA By the SWRCB

The factual and legal aspects of the SJRA were the subjects of three separate phases of the SWRCB's water right hearing. At the first, Phase 2, the SJRA was officially submitted to the SWRCB by the SJRGA, the United States Department of Interior, DWR and CDFG for its initial consideration. The proponents of the SJRA were required to provide evidence in support of the SJRA. Opposing parties were not required to submit evidence, although they were permitted to (and did) conduct cross-examination of the witnesses and evidence submitted. (AR/1/0770/25).

Having determined that the SJRA merited further consideration, the SWRCB added Phase 2A, which permitted opposing parties the opportunity to submit their own evidence as to why the SJRA should not be accepted by the SWRCB. Thereafter, Phase 2B was added, which focused on the request by the SJRGA's members to amend their water rights to effectuate the SJRA.

At the conclusion of the water rights hearing, the SWRCB ordered changes to the permits of the USBR and DWR requiring them to meet the flow requirements for the San Joaquin River Basin as set forth in the 1995 WQCP. (AR/1/0770/160, 175). The SWRCB also ordered changes to the water rights of the SJRGA's members, enabling them to comply with the terms and conditions of the SJRA, and finding that such compliance will constitute satisfaction of any responsibility that such parties may have in meeting the flow requirements of the 1995 WQCP. (AR/1/0770/180). As to the SJRGA's members, the approved-changes were temporary, and expire on December 31, 2011, the stated end of the term of the SJRA, or the termination of the SJRA, whichever occurs first. (<u>Id.</u>). At that time, the SWRCB will reconvene to consider new or different flow objectives for the

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San Joaquin Basin and/or alternative methods and responsibilities for meeting the San Joaquin Basin objectives. (AR/1/0770/38). By then, performance of the SJRA will have generated an enormous amount of data for use by the SWRCB is establishing the new or different flow objectives and/or the alternative methods and responsibilities for meeting those objectives.

The SWRCB did <u>not</u>, however, order that the SJRA be complied with. It only found that if it were complied with, such compliance would constitute satisfaction of the San Joaquin Basin objectives contained in the 1995 WQCP. The changes to the water rights of the USBR expressly provide that the USBR shall ensure that the San Joaquin River flow portion of the 1995 WQCP is met

"with the exception that during the April-May pulse flow period while the SJRA is in effect, experimental target flows set forth in [the SJRA] *may be provided* in lieu of meeting this objective." (AR/1/0770/175)(emphasis added).

If the terms and conditions of the SJRA are not complied with, the SWRCB ordered that the USBR and DWR would be required to meet the San Joaquin Basin flow objectives of the 1995 WQCP while the SWRCB conducted further proceedings to determine the responsibility for and the method of meeting those objectives. (AR/1/0770/176).

# III. THE SWRCB HAS ALREADY CONSIDERED AND REJECTED ASSERTIONS THAT THE SJRA WILL REDUCE SUMMER FLOWS AND DEGRADE WATER QUALITY

Predictably, representatives for CDWA and SDWA commented at the workshop that the SJRA will reduce summer flows and degrade water quality. These tired arguments have been repeatedly made by CDWA and SDWA, and at every turn have been rejected by both the SWRCB

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and courts of law. However, seeing as how they have been raised yet again, the SJRGA is compelled to respond.

To demonstrate that the proposed changes would not substantially alter either water quality or quantity at Vernalis, the SJRGA used the 71 years of known hydrologic data for the San Joaquin River Basin to model the water quality and flow at Vernalis assuming (1) objectives of the 1995 WQCP, (2) increased minimum 1995 Federal Energy Regulatory Commission ("FERC") flow requirements on the Tuolumne River, and (3) operation of the New Melones Project by USBR in accordance with the Interim Operations Plan ("IOP"). (SJRGA Ex. 103, p.5). This scenario was referred to as the "Pre-SJRA setting." (Id.). The SJRGA also modeled the same water quality and flow objectives at Vernalis assuming that the SJRA were implemented. (Id.). This scenario was referred to as the "Post-SJRA setting."

By comparing the results of the two modeled scenarios, the SJRGA found that average monthly flow conditions in all hydrologic year types were substantially similar under both scenarios. The primary difference was that additional flows occurred in May and October under the Post-SJRA setting than occurred in the Pre-SJRA setting. (SJRGA Ex. 103, p. 9). There were some instances, primarily in the winter months of wet and above normal years, where the flows in the Pre-SJRA setting at Vernalis exceeded those of the Post-SJRA setting. (Id.) However, given the timing, in terms of both month and year type, in which these reductions occurred, as well as the fact that water quality violations were not increased thereby as is discussed further below, the reductions resulted in no significant impact to any legal user of water.

The modeling done by the SJRGA also demonstrated that water quality at Vernalis is improved in the Post-SJRA setting in terms of the total number of months that the water quality

standard is exceeded. (Id.). However, water quality was vastly improved in the month of October in the Post-SJRA setting, to the point where no water quality violations occur at all. (Id.).

In an effort to further illustrate specific differences between the two scenarios, as well as to show how approval of the petitions would affect the applicable river systems, the SJRGA submitted additional evidence describing in detail the modeled operation of the Tuolumne, Merced and Stanislaus rivers, as well as the San Joaquin River, for both the Pre-SJRA and Post-SJRA settings using four separate and hydrologically distinct time periods. While each of the four sequences utilized vary in hydrology, three of the four are similar in that they depict a period when the affected reservoirs are full, are then drawn down, and subsequently re-filled. This type of period was specifically chosen to illustrate the impact that approval of the petitions and implementation of the SJRA would have on reservoir operations and carryover storage.

The first sequence examined was 1965-1967, which was characterized as a hydrologically wet year, followed by a below normal year, followed by a wet year. (SJRGA Ex. 103, p. 12-14; Fig. 2a-2d). As to flow depicted at Vernalis, the modeling showed that flows under the Pre-SJRA setting and Post-SJRA setting were substantially similar, with flows being larger in May under the Post-SJRA setting, and flows being somewhat less during those winter months when re-fill of the various reservoirs took place under the Post-SJRA setting. (SJRGA Ex. 103, Fig. 2d). As to water quality depicted at Vernalis, the modeling showed that

"Water quality objectives at Vernalis were met during all months of this sequence. At times when reservoir refill operations occurred water quality at Vernalis slightly decreased, but never caused an exceedance of the water quality objective. During times when additional water was provided to Vernalis during the VAMP test flow

period and during October, water quality improved at Vernalis." (SJRGA Ex. 103, p. 14).

At Vernalis, therefore, the SJRGA's analysis shows that the approval of the petitioned changes and the subsequent implementation of the SJRA would not have resulted in any water quality problems, and the amounts of water flowing at Vernalis would have remained the same or higher, except in those few winter months when reservoirs are re-filling.

This analysis also showed that the use of reservoir storage on the Merced and Tuolumne Rivers did not result harm to any legal user of water. When reservoir re-fill occurred – in the winter of 1964/1965, the fall of 1965 and the spring of 1967 –such re-fill merely captured flows that would have otherwise exceeded minimum flow requirements. (SJRGA Ex. 103, p. 12-14; Fig. 2a-2d). Thus, through the use of reservoir storage and re-fill, deliveries to customers are able to remain within historical levels and water can be made available pursuant to the SJRA.

Similar results were found regarding the other sequences examined, 1975-1978, 1983-1986 and 1986-1992. (See SJRGA Ex. 103, p. 14-20; Fig. 2a-5d). In each case, as depicted at Vernalis, water flows remained substantially similar, higher during the pulse flow and October periods, somewhat less during times of reservoir re-fill and re-operation. Water quality at Vernalis typically remained the same or improved, with the times of exceedance not exacerbated by implementation of the SJRA. (Id.).

Moreover, while each sequence was somewhat different, none of the sequences unduly impacted any of the rivers from which water would be made available for the SJRA. To the contrary, approval of the petitioned changes and implementation of the SJRA would not deprive flow in Stanislaus, Tuolumne and Merced river systems except during periods of high flow, when

the impact of such diversions would be *de minimis*, at most. (AR/2/3366b/65-66). Therefore, those relying upon each of the river systems for their water will not be harmed or unreasonably affected if the petitioned changes are approved.

The results of the SJRGA's analysis were supported by the analysis performed by SWRCB staff in its evaluation of the SJRA in its Draft EIR. Mr. Howard, a member of the SWRCB staff familiar with the Draft EIR, testified that the modeling done by the SWRCB regarding the implementation of the SJRA demonstrated that New Exchequer Reservoir on the Merced River, and New Don Pedro Reservoir on the Tuolumne River, could make all releases necessary to meet downstream demand and still meet the requirements of the SJRA. (AR/2/3367/38, 42-43, 67).

As compared to current conditions, the evidence submitted by the SJRGA showed that approval of the change petitions and implementation of the SJRA would result in substantially similar and in some cases improved, average flow at Vernalis in all year types. (*See* SJRGA Ex. 103, Fig. 1a-1e). Similarly, approval of the change petitions will result in an improvement in overall water quality at Vernalis as compared with current conditions. (*See* SJRGA Ex. 103, p. 9). The evidence also showed that approval of the change petitions would not enable the SJRGA's members to increase the amount of water they are entitled to use, although some of them would alter their operations to exercise reservoir storage and recapture any deficit in storage in wet periods in accordance with their existing water rights. (SJRGA Ex. 103, p. 21). This greater reliance on reservoir storage, combined with improved water operation efficiencies and development of conjunctive use programs, will enable the SJRGA's members to continue to supply their customers with water while still making the necessary water available to implement the SJRA. (SJRGA Ex. 103-113).

The SWRCB concurred with the evidence and testimony submitted by the SJRGA, finding that performance of the SJRA will result in "no injury to southern Delta beneficial uses of water." (D-1641, p. 30). Accordingly, the SWRCB approved the SJRA despite CDWA and SDWA's claims.

# IV. THE SJRA CANNOT BE AMENDED BY THE SWRCB

The CDFG presented written and oral testimony regarding the SJRA, recommending that key aspects of the SJRA be amended by the SWRCB. Specifically, the CDFG advocates (1) a peer review to determine if changes to the study design of the SJRA are needed and, if so, to enable them, (2) amending the range of target flows, (3) increasing the number of test fish, and (4) enlarging the 31 day window of the pulse flows. (CDFG Comments, January 24, 2005, p. 2-5). While the SWRCB could, as part of Triennial Review, amend the 31 day pulse flow window called for in the 1995 WQCP, all of the other suggested changes are beyond the power and jurisdiction of the SWRCB.

The SWRCB has already recognized that the SJRA is a private "agreement among its parties," and has properly declined prior efforts by parties opposing the SJRA to seek to amend the terms and conditions of the SJRA. (D-1641, p. 22). During Phases 2 and 2A, several parties, including the Bay Institute, argued that the SWRCB should not approve the condition, contained in the SJRA itself, which enables the United States to pay for water out of ecosystem restoration funds established by the CVPIA and other sources. (AR/15/3168, p. 2). According to the Bay Institute, this funding provision "violate[s] the authorization for such funds." (Id.). The Bay Institute did not, however, argue that the SWRCB should refuse to adopt the SJRA based upon its objections to the funding mechanism; to the contrary, the Bay Institute expressly supported the implementation of the

SJRA. Rather, what the Bay Institute asked was that the SWRCB adopt a modified version of the SJRA which altered the funding arrangements agreed to by the parties to the SJRA.

The SWRCB properly refused the Bay Institute's request. Rather than get involved in whether or not the funding mechanism was appropriate and enforceable, the SWRCB stated

"the SWRCB is not the appropriate forum to enforce payments of money under the SJRA. This is a matter between the parties, and any enforcement of the payment provisions should be pursued in a court of law." (D-1641, p. 26)(emphasis added).

The SWRCB must do the same in this case. It simply does not have the power to alter, change or otherwise amend the terms and conditions of the SJRA, despite the request to do so by the CDFG. While the SWRCB has the power to change the 1995 WQCP in ways that make the SJRA, in its current form, inappropriate as an implementation measure, even that type of change would not mandate or require that the parties to the SJRA amend it in the ways suggested by the CDFG. Indeed, in that instance, the parties would retain the discretion to amend the SJRA, or not, as they saw fit.

V. ANY ACTION THAT CAUSES THE TERMINATION OF THE SJRA WILL RESULT IN THE USBR AND DWR BEING RESPONSIBLE FOR MEETING THE SAN JOAQUIN RIVER BASIN FLOW DEPENDENT OBJECTIVES FOR UP TO TWO YEARS.

It is worth remembering that in the event the SJRA is terminated, the USBR and DWR will be solely responsible for meeting the flow dependent objectives of the San Joaquin River basin for up to two years. (SJRA, Para. 10; D-1641, p. 26). This period is designed to enable the objectives to

be met while the SWRCB conducts a new hearing to determine the responsibility of water right holders in the San Joaquin River basin for meeting the 1995 WQCP objectives. This is important since, if the SWRCB does attempt to alter, change or otherwise amend the terms and conditions of the SJRA, such effort would almost certainly constitute a material change justifying termination under Paragraph 13 of the SJRA. VI. CONCLUSION The SJRGA respectfully requests that the SWRCB refuse to take the suggestions made by the CDFG, CDWA and SDWA to act beyond its powers generally, and beyond the scope of this proceeding specifically, to alter, amend or abandon the SJRA. DATED: March //, 2005 O'LAUGHLIN & PARIS LLP Attorneys for SJRĞA Public Workshop Comments